SÓN-2363 TRADEMARIO

PATENT APPLICATION

(80001-2363)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Koichiro KISHIMA Confirmation No.: 4610

Application No.: 10/067,347 Group Art Unit: 2874

Filed: February 7, 2002 Examiner: Sung H. Pak

For: OPTICAL COUPLING DEVICE

INFORMATION DISCLOSURE STATEMENT (IDS)

Mail Stop DD

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date or the date of filing a CPA, OR (b) before the mailing date of a first Office Action on the merits in the present application, or (c) accompanies a Request for Continued Examination. No certification or fee is required.
- 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

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- a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- C. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
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Docket No.: SON-2363 (80001-2363)

Application No.: 10/067,347

b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

4. In the event any fees are due with this paper, please charge our Deposit Account No. 18-0013.

Respectatully submitted,

Date: October 28, 2003

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Substitute for form 1449/PTO				Complete if Known	
				Application Number	10/067,347 – Conf. #4610
INFORMATION DISCLOSURE				Filing Date	February 7, 2002
5	STATEMENT E	BY AP	PLICANT	First Named Inventor	Koichiro KISHIMA
				Art Unit	2874
	(use as many sheets as necessary)			Examiner Name	Sung H. Pak
Sheet	1	of	1	Attorney Docket Number	SON-2363

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite Document Number		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
	No.1	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
	AA	3,532,038	10/06/1970	Hans Rottmann			
	AB	6,324,149 B1	11/27/2001	Hironobu Mifune et al.			
	AC		·				
	AD						
	ΑE						

FOREIGN PATENT DOCUMENTS						
Examiner	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials*		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				T⁵
	ВА	JP 2000-221305	08/11/2000	Nishikawa Hisao		T
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